Computational Vision U. Minn. Psy 5036 Daniel Kersten Lecture 20: Surface Material

# Initialize

## ■ Spell check off

ln[3]:=	SetOptions[ArrayPlot, ColorFunction $\rightarrow$ "GrayTones", DataReversed $\rightarrow$ True,				
	Frame $\rightarrow$ False, AspectRatio $\rightarrow$ Automatic, Mesh $\rightarrow$ False,				
	$\texttt{PixelConstrained} \rightarrow \texttt{True, ImageSize} \rightarrow \texttt{Small};$				
	SetOptions[ListPlot, ImageSize $\rightarrow$ Small];				
	SetOptions [Plot, ImageSize $\rightarrow$ Small];				
	<pre>SetOptions[DensityPlot, ImageSize → Small, ColorFunction → GrayLevel]; nbinfo = NotebookInformation[EvaluationNotebook[]];</pre>				
	dir =				
	("FileName" /. nbinfo /. FrontEnd`FileName[d_List, nam_,] ⇒				
	ToFileName[d]);				

# Outline

## Last time

### Local measurements

Representing motion, Orientation in space-time

Fourier representation and sampling

Optic flow, the gradient constraint, aperture problem

Neural systems solutions to the problem of motion measurement.

Space-time oriented receptive fields

#### Global integration

Sketched a Bayesian formulation--the integrating uncertain local measurements with the right priors can be used to model a variety of human motion results.

## Today

Later, we'll pick up on motion again--namely structure from motion in the context of determining layout and computing heading

Today, surface material:

Surface properties, color, transparency, etc..

Reflectance & lightness constancy

Transparency

Cooperative computation

# Introduction to material perception

## Material & Texture modeling

General categories of the "stuff" we see: surfaces (opaque and transparent), particle clouds (e.g. smoke, mist,..), liquids, hair, fur,...

Connection with count vs. mass nouns.

Reseearch in computer graphics has provided major progress in the characterization of real surfaces, but realism is still a challenge.

## **Uniform materials**

Surfaces with material properties or attributes:

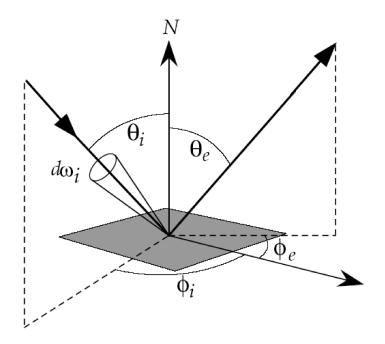
reflectance ("paint" or pigment or albedo)

matte and shiny

mirrors

transparency

multiplicative, additive



Physics-based generative modeling: Bidirectional reflectance distribution functions

Figure from: Image-Based BRDF Measurement Including Human Skin Stephen R. Marschner\* Stephen H. Westin Eric P. F. Lafortune, Kenneth E. Torrance Donald P. Greenberg

The Bidirectional Reflectance Distribution Function (BRDF) describes directional dependence of the reflected light energy. The BRDF represents, for each incoming angle, the amount of light that is scattered in each outgoing angle.

For a given wavelength, it is the ratio of the reflected radiance in a particular direction to the incident irradiance:

$$\rho(\theta_i, \phi_i, \theta_e, \phi_e) = \frac{\mathrm{dL}_e(\theta_e, \phi_e)}{\mathrm{dE}_i(\theta_i, \phi_i)}$$

where E is the irradiance, that is the incident flux per unit area (w-m-2), and L is the reflected radiance, or the reflected flux per unit area per unit solid angle (w-m-2-sr-1). The units of BRDF are inverse steradians. Respects the physics: Reciprocity, energy conservation.

We've assumed isotropy, i.e. the BRDF is the same for all directions at a point, and spatially uniform material.

For a Lambertian (perfectly diffuse) surface, for example, the BRDF is con-

stant. The Phong model described earlier can approximate only a subset of surfaces characterized by BRDFs.

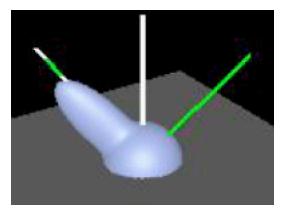
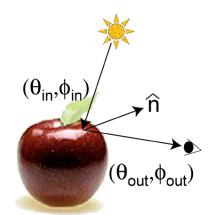


Figure from: http://graphics.stanford.EDU/~smr/brdf/bv/

Ward reflection model: For calculating an image from a description of the shape, the illumination, and the BRDF

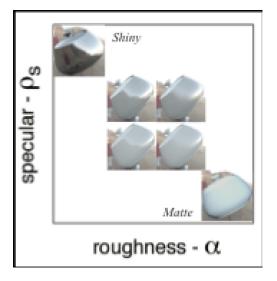
The Ward model is a physically realizable cousin of the Phong model.



 $L_e(\theta_e, \phi_e) = \int \int L_i(\theta_i, \phi_i) \rho(\theta_i, \phi_i, \theta_e, \phi_e) \cos\theta_i \sin\phi_i$ d $\theta_i d\phi_i$ 

$$\rho(\theta_i, \phi_i, \theta_e, \phi_e) = \frac{\rho_d}{\pi} + \rho_s \frac{e^{-\tan^2(\delta)/\alpha^2}}{4\pi\alpha^2 \sqrt{\cos\theta_i \cos\theta_e}}$$

 $\delta$  is the angle between the viewer and the vector defining the mirror reflection of the incident ray (i.e. where the angle of reflection equals the angle of incidence).  $\alpha$  can be thought of is a measure of "roughness", and  $\rho_d$  and  $\rho_s$  give the amounts of diffuse to reflected contributions.



### Other links

http://www.cs.princeton.edu/~smr/cs348c-97/surveypaper.html http://www.nps.navy.mil/cs/sullivan/MV4470/resources/BRDFIntro.pdf http://www.ciks.nist.gov/appmain.htm http://www.graphics.cornell.edu/research/measure/ For examples using BRDF measurements of human skin see: http://www.graphics.cornell.edu/online/measurements/

# **Textured materials**

Note: "texture" sometimes refers to low-level cues or statistics useful for inferring properties like slant and shape, but it is also used to refer to surface material properties that are useful to estimate because they represent view-invariant object properties. In other words, sometimes it refers to a cue (measurement to support an estimate) and other times to an estimate itself. Thus, sometimes "texture" refers to an image features, and other times to 3D surface properties.

In this lecture, we focus on texture as a material property.

Textures can be:

regular ("herringbone pattern") or stochastic ("fur")

"coherence" e.g. sand & gravel, vs. asphalt

Textures can be due to:

reflectance/pigment variations or bump (small geometric) variations

perceptually it isn't always easy to tell the difference, and may not matter depending on visual

function.

(Note that image texture can also result from a completely uniform (shiny) material reflecting a textured environment)

Key ideas:

spatial variations are small with respect to the global scale of the surface structure

spatial homogeneity

### ■ Appearance-based measurements

How can one characterize the generative model? Much more complicated because of small-, but not micro-scale surface non-uniformity.

http://www1.cs.columbia.edu/CAVE/projects/btf/

### ■ Random synthesis and learning of stochastic textures...

See Heeger and Bergen in Supplementary material, Zhu et al.,

# **Reflectance estimation & lightness**

### Introduction

The trichromacy theory of human color vision (Young, Maxwell, Helmholtz in the 19th century) states that three sensor types could explain the data on color matching has been highly successful. However, it can not explain some phenomena. Simultaneous and successive color contrast effects required an elaboration of trichromacy to opponent-color coding.

What about color constancy? Color constancy refers to the observation that the color (of an object) can remain relatively unchanged with both spatial and chromatic changes in illumination. Historically, much experiment and thought went into accounting for the phenomena of color appearance over the last century and a half. However, the problem of what color vision is actually for, received less attention.

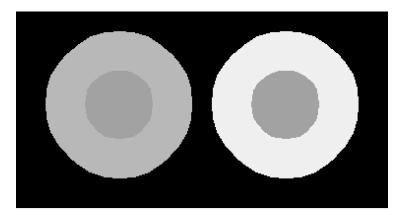
Although people have made various conjectures about the function of color vision, the advent of computational vision helped to clarify and motivate research into color's function. One idea is that it could aid in segmentation--the localization of boundaries in the absence of luminance contours. Another idea is that color could provide a surface attribute, relatively invariant over illumination variations useful for recognition. So just like shape is good for object recognition, so might surface color. This second explanation gives us a different slant on color constancy--because in order to have a reliable surface attribute, we must be able to compute it given variations in secondary variables. Surface color is not given to the eye directly because the color and spatial distribution of the illumination typically varies. If we computed the color of an object by simply registering its wavelength composition, the object would rarely appear the same as it was moved about the room, or from indoors to outdoors. To obtain some measure of color constancy, vision discounts illumination as a secondary variable.

If color constancy is a result of the neural system's attempt to estimate a surface attribute, then what is that attribute, and how do we estimate it? Let's first look at simplified versions of the problem--in particular, lightness constancy.

### Functional vs. mechanistic explanations

#### Overview of lightness effects

Recall the Land & McCann "Two squares and a happening", and the two-cylinders version of it. http://web.mit.edu/persci/demos/Lightness/gaz-teaching/index.html Simultaneous contrast



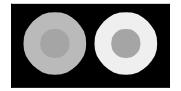
http://web.mit.edu/persci/demos/Lightness/gaz-teaching/flash/contrast-movie.swf

- Features?
- local contrast
- fuzzy shadows
- X-junctions

# Lightness & spatially uniform illumination

■ Simple constancy: simultaneous contrast mechanisms vs. functional algorithms

Local contrast



Spatially uniform illumination. L = RE.

Lightness  $\rightarrow R$ , where R is between 0 and 1.

Let L1 and L2 be the luminance of the small center disks, and R1 and R2 be their reflectances.

Relative reflectance and local contrast: L1/L2 = (R1E)/(R2E) = R1/R2

Normalization or anchoring problem (see Gilchrist).

 $Or \; R1 \sim L1/Lavg$   $Or \; R1 \sim L1/Lmax$ 

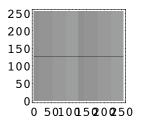
10 to 1, or 30 to 1 constraint on reflectance.

Role of contrast and adaptation mechanisms--See Kraft and Brainard (1999).

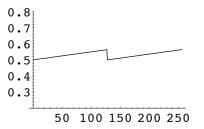
# Spatially varying illumination

## ■ Recall Land & McCann's "Two squares and a happening" (Lecture 13)

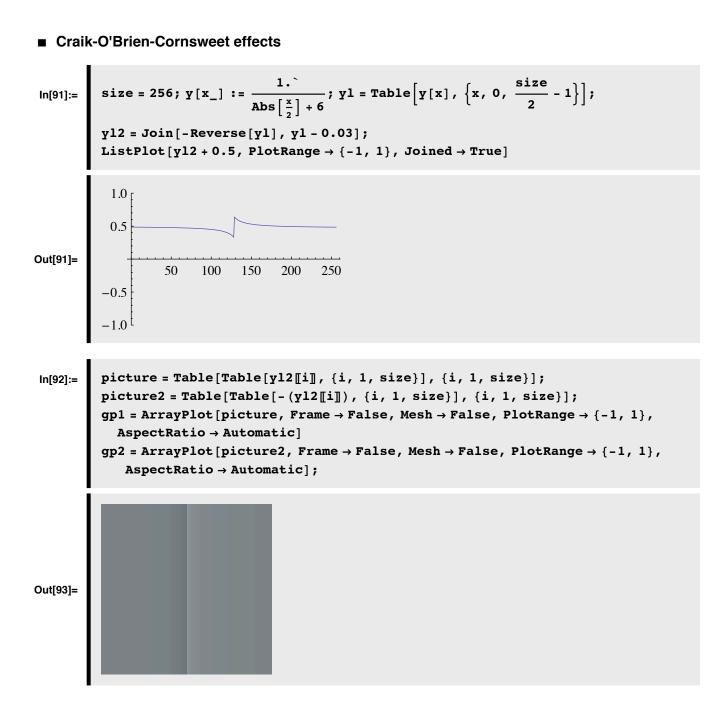
The left half looks lighter than the right half.



But the intensity across a horizontal line tells a different story:



The two ramps are identical.



# Lightness algorithms in "flat land"

The Appendix provides some examples of historical approaches to computing lightness. Lecture 13 also had an example of an algorithm.

# Lightness and visual cortex

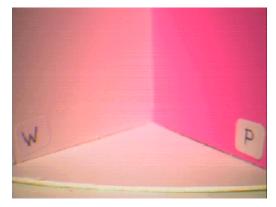
See supplementary pdf notes on course web page.

Boyaci, H., Fang, F., Murray, S. O., & Kersten, D. (2007). Responses to lightness variations in early human visual cortex. Curr Biol, 17(11), 989-993.

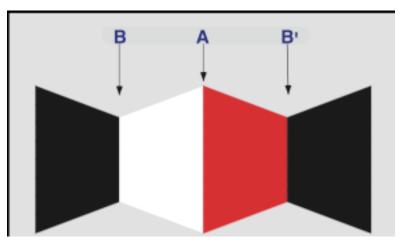


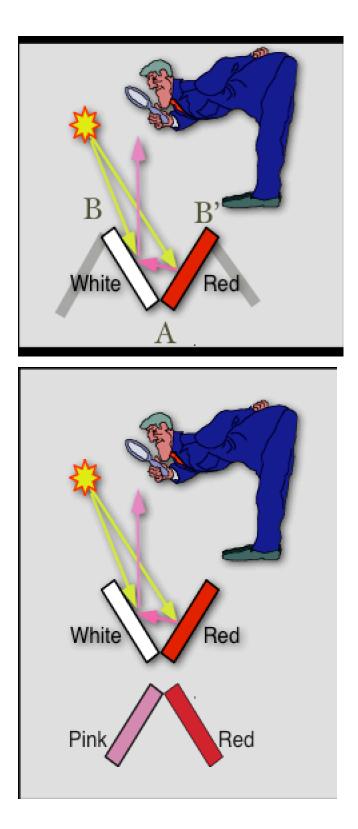
The above animation isn't quite right to test localized V1 responses. Why not?

# Reflectance estimation & indirect lighting



http://gandalf.psych.umn.edu/~kersten/kersten-lab/Mutual\_illumination/BlojKerstenHurlbertDemo99.pdf (See Bloj MG, Kersten D, Hurlbert AC (1999))





## ■ Two generative models

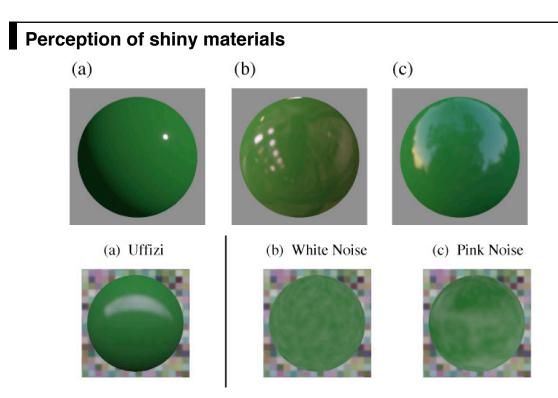
Indirect plus direct lighting (1 bounce, Funt & Drew, 1991)

$$I(\lambda) = E(\lambda)\rho_1(\lambda) \Big[\cos(\alpha_1) + f_{21}(x)\rho_2(\lambda)\cos(\alpha_2)\Big] + n$$
$$f_{21}(x) = \frac{1}{2} \Big[ 1 + \frac{\cos(\beta) - (x/w)}{\sqrt{(x/w)^2 - 2(x/w)\cos(\beta)}} \Big]$$

Direct lighting only (0 bounce)

$$I(\lambda) = E(\lambda)\rho_1(\lambda)[\cos(\alpha)] + n$$

Bottom line: Human color matches consistent with built-in knowledge of the generative laws of reflection.

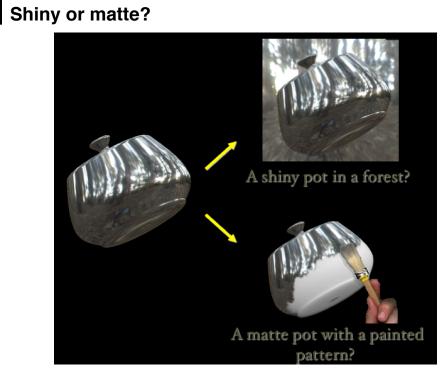


From: Fleming RW, Dror RO, Adelson EH (2003) Real-world illumination and the perception of surface reflectance properties. J Vis 3:347-368.

A major invariance problem.

Presence of edges and bright points important, rather than recognizable reflected objects.

http://journalofvision.org/3/5/3/article.aspx



http://gandalf.psych.umn.edu/~kersten/kersten-lab/demos/MatteOrShiny.html

# Appendices

### Simple constancy: Bayesian reflectance estimation of one isolated patch in flatland

This simple example shows how marginalization or integrating out secondary variables can constrain an otherwise unconstrained problem...even without hypothesizing explicit priors on reflectance or illumination distributions. (Freeman, 1994)

L = R\*El + noise. Given L, what is R? El is the secondary variable that we want to discount by marginalization.

Let the illumination range be [0,10], and the reflectance range [0,1]. Then the luminance range is also [0,10].

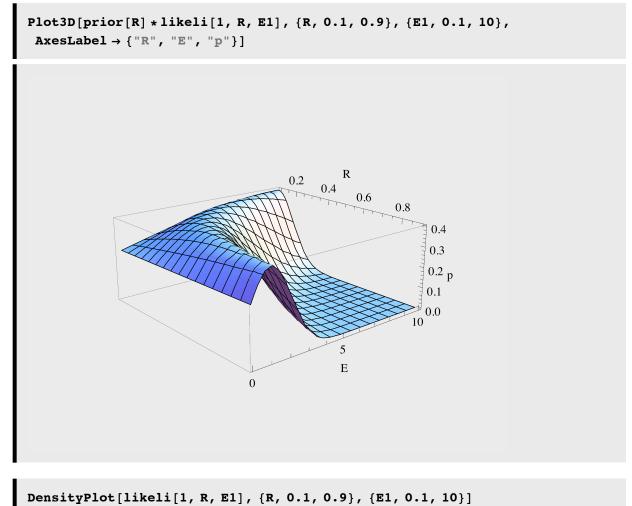
Let the luminance noise be Gaussian with a standard deviation less than 10% of L, say 1 for simplicity. Then the probability of an observation L given R and El is proportional to:

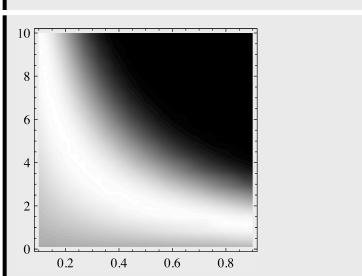
likeli[L\_,R\_,El\_]:=Exp[-(.5)\*(L-R\*El)^2]/Sqrt[2. Pi];

prior[R\_] := PDF[UniformDistribution[{0, 1}], R]

where we assume that the noise has a Gaussian distribution.

Here is a plot of the likelihood for a luminance value of 1:



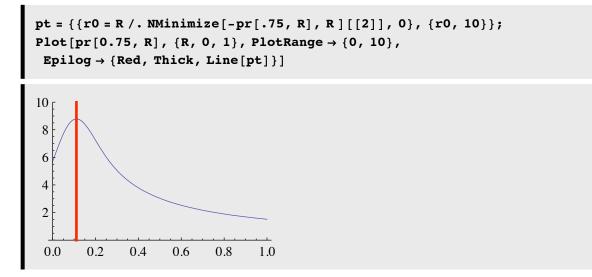


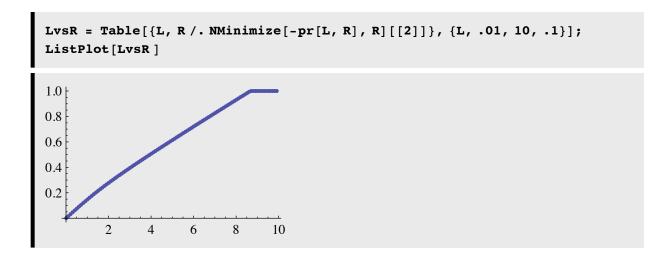
Marginalize over illumination, El to get the likelihood of R for a given value of L:

```
pr[L_,R_]:= Evaluate[Integrate[prior[R]*Exp[-(L-R*E1)^2],{E1,0,10}]]
```

Plot3D[pr[L, R], {L, 0, 10}, {R, 0.0, 1}, AxesLabel  $\rightarrow$  {"R", "L", "p"}, PlotRange  $\rightarrow$  {0, 10}]

Here is the relative probability of R given L = 0.75





# Some notes on color illusions

## Munker-White illusion

http://web.mit.edu/persci/people/bart/DemoLinks.html

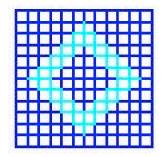
White M (1981) The effect of the nature of the surround on the perceived lightness of graybars within square-wave test gratings. Perception 10:215-230.

Π		

HUUUUU	INNUN
	1111111
Toll. ToleTol	

..................

■ Neon color spreading, etc.



From: http://neuro.caltech.edu/~carol/VanTuijl.html

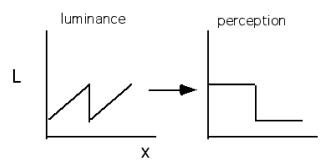
http://www.cogsci.uci.edu/%7Eddhoff/illusions.html

Hoffman DD (1998) Visual Intelligence. New York: W. W. Norton & Company.

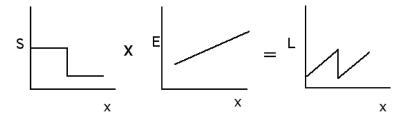
http://www.michaelbach.de/ot/col\_neon/

### ■ Land, Horn and others

Given L(x,y)=S(x,y)E(x,y), where L is the intensity/luminace data of the image (using an achromatic world), we attempt to estimate S(x,y).

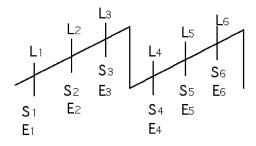


Rather than seeking a spatial filter explanation (e.g. do edge detection, and then fill in the region up to the edges with the color of the edges), consider the following functional explanation of this illusion:



The lightness value we assign is correlated with S, not with L. So how can we estimate S?

The idea is to assume that the image intensity changes (or changes in r, b, or g) are due to slowly varying illumination together with piece-wise constant reflectances. The slowly varying illumination needs to be filtered out. Land's scheme was to use ratios:



We want to estimate

$$\frac{L_1}{L_5} = \frac{L_1}{L_2} \cdot \frac{L_2}{L_3} \cdot \frac{L_3}{L_4} \cdot \frac{L_4}{L_5}$$
$$\frac{L_1}{L_5} = \frac{S_1 E_1}{S_2 E_2} \cdot \frac{S_2 E_2}{S_3 E_3} \cdot \frac{S_3 E_3}{S_4 E_4} \cdot \frac{S_4 E_4}{S_5 E_5}$$

But we would like to discount small changes in L, so we can use the rule:

if 
$$\left| 1 - \frac{L_i}{L_{i+1}} \right| < t$$
  
then set  $\frac{L_i}{L_{i+1}} = \frac{S_i E_i}{S_{i+1} E_{i+1}} = 1$   
 $1 \cdot 1 \cdot \frac{L_3}{L_4} \cdot 1 = 1 \cdot 1 \cdot \frac{S_3 E_3}{S_4 E_4} \cdot 1 \cong \frac{S_3}{S_4} = \frac{S_1}{S_5}$ 

and thus by using luminance ratios that are sufficiently large in the product, we obtain an estimate of the relative reflectance

$$S_5 \cong S_1 \left( \frac{L_4}{L_1} \right)$$

where the luminance ratio is measureable. We can obtain estimates for i = 1,2,3,4, 6. (See Appendix to see how Land extended this lightness algorithm model to color).

### Horn's algorithm

Luminance (L) = reflectance (S) x illumination (E)

1) Take logs to turn the multiplication into addition:

$$1)C(x, y) = logL(x, y) = log(SE) = logS(x, y) + logE(x, y) = S' + E'$$

2) High-pass filter to amplify the edges

$$\nabla^2 C(x, y) = \nabla^2 S' + \nabla^2 E' \quad \text{(or } \nabla^2 G * C)$$

3) Threshold all values below some finite threshold

$$t(x,y) = \mathbf{T}[\nabla^2 C] = \mathbf{T}[\nabla^2 S' + \nabla^2 E'] = \nabla^2 S'(x,y)$$

Using Poisson's equation, solve for S'(x,y).

$$S' = t * g$$
  
$$F [S'] = F [t]F [g]$$

The mathematical complication is because the problem is two-dimensional. In one dimension, only beginning calculus is required to understand how to solve a simple differential equation--just integrate.

Both Horn's method and Land's have some problems:

1) Normalization (anchoring problem) is actually more complicated, because we have taken second derivatives, leaving an extra degree of freedom in the integration process.

2)Spatial scale and threshold

3) restricted to flatland.

In fact most of the alternatives face the same problems. One could imagine various ways of filtering out the illumination, for example, using spatial frequency representations of the image..but this does not help.

### ■ Mathematica demonstration of a 1D lightness calculation in flatland

One explanation is that the visual system takes a spatial derivative of the intensity profile. Recall from calculus that the second derivative of a linear function is zero. So a second derivative should filter out the slowly changing linear ramp in the illusory image. We approximate the second derivative with a discrete kernel (-1,2,-1). Let's apply this to a line across the Craik-O'Brien illusion above.

The steps are: 1) take the second derivative of the image;

```
In[10]:= filter = {1, -2, 1};
  (*Take the second derivative at each location*)
  fspicture = ListConvolve[filter, picture[[128]]];
```

2) threshold. To handle gradients that aren't perfectly linear, we add a threshold function to set small values to zero before re-integrating:

In[12]:= threshold[x\_, τ\_] := If[Abs[x] > τ, x, 0]; SetAttributes[threshold, Listable]; fspicture = threshold[fspicture, 0.0025];

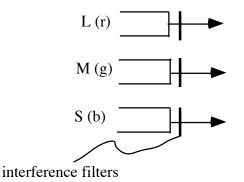
### 3) re-integrate

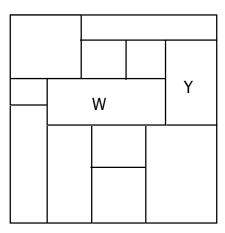
```
In[14]:= ListPlot[fspicture, Joined → True, PlotRange → {-0.1`, 0.1`}];
integratefspicture = FoldList[Plus, fspicture[1], fspicture];
integratefspicture2 = FoldList[Plus, integratefspicture[1],
integratefspicture];
ListPlot[integratefspicture2, Joined → True, Axes → False]
Out[14]=
```

# **Color constancy**

#### Land's demonstrations

Beginning in the 1950's, Edwin Land has shown the sophistication of human color constancy in a number of striking demonstrations (Land, E.H., 1983). In one experiment, three lights (long, medium, and short wave lamps) illuminate a Mondrian consisting of a collection of patches of paper of various colors.





We consider two phases, each characterized by a different global illumination of the whole Mondrian. In the first phase, we pick out two patches, a white (W) and a yellow (Y) one, on which to focus our attention. A radiometer is used to measure the amount of each of the three components radiating off the yellow patch. Now, in the second phase, we adjust the irradiance of each of the colored lights so that we get the same readings for the white patch as we had for the yellow patch in the first phase. And as a consequence, the spectral composition of the yellow patch changes too, because it is now receiving the same changed illumination as the white patch. Based on spectral composition, we might predict that the white patch of the first phase would be made to appear yellow in the second phase. but it doesn't. color constancy is maintained, and the white patch appears white, and the yellow appears yellow. How can this be done?

Kraft and Brainard (1999) have measured color constancy under nearly natural viewing conditions. Their results rule out all three classic hypotheses: local adaptation, by adaptation to the spatial mean of the image, or by adaptation to the most intense image region. What more is needed to explain to constancy beyond these simple visual mechanisms?

# References

Adelson, E. H. (1999). Lightness Perception and Lightness Illusions. In M. S. M. Gazzaniga (Ed.), *The New Cognitive Neurosciences* (pp. 339-351). Cambridge, MA: MIT Press.

Adelson, E. H. (1993). Perceptual organization and the judgment of brightness. Science, 262, 2042-2044.

Adelson, E. H., & Pentland, A. P. (1996). The Perception of Shading and Reflectance. In D. C. Knill & W. Richards (Eds.), *Perception as Bayesian Inference* (pp. 409-423). Cambridge: Cambridge University Press.

Anderson BL (2003) The role of occlusion in the perception of depth, lightness, and opacity. Psychol Rev 110:785-801.

Anderson BL (2003) Perceptual organization and White's illusion. Perception 32:269-284.

Beck, J. (1972). Surface Color Perception. . Ithaca, NY: Cornell University Press.

Bloj, M. G., Kersten, D., & Hurlbert, A. C. (1999). Perception of three-dimensional shape influences colour perception through mutual illumination. *Nature*, 402(6764), 877-879.

Brainard, D. H., Brunt, W. A., & Speigle, J. M. (1997). Color constancy in the nearly natural image. I. Asymmetric matches. J Opt Soc Am A, 14(9), 2091-110.

Brainard, D. H. (1998). Color constancy in the nearly natural image. 2. Achromatic loci. <u>J Opt Soc Am A Opt Image Sci</u> <u>Vis</u>, <u>15</u>(2), 307-25.

Brainard, D. H., & Freeman, W. T. (1997). Bayesian color constancy. J Opt Soc Am A, 14(7), 1393-411.

Brainard, D. H., & Wandell, B. A. (1986). Analysis of the retinex theory of color vision. <u>J Opt Soc Am [A]</u>, <u>3</u>(10), 1651-61.

Brainard, D. H., & Freeman, W. T. (1994). *Bayesian Method for Recovering Surface and Illuminant Properties from Photosensor Responses*. Paper presented at the Human Vision, Visual Processing and Digital Display V, San Jose, California.

Boyaci H, Maloney LT, Hersh S (2003) The effect of perceived surface orientation on perceived surface albedo in binocularly viewed scenes. J Vis 3:541-553.

Buckley, D., Frisby, J. P., & Freeman, J. (1994). Lightness Perception can be Affected by Surface Curvature from Stereopsis. *Perception*, 23, 869-881.

Doerschner K, Boyaci H, Maloney LT (2004) Human observers compensate for secondary illumination originating in nearby chromatic surfaces. J Vis 4:92-105.

Drew M, Funt B (1990) Calculating surface reflectance using a single-bounce model of mutual reflection. In: *Proceedings of the 3rd International Conference on Computer Vision*, IEEE,, pp 393-399. Osaka.

D'Zmura, M. (1992). Color constancy: surface color from changing illumination. Journal of the Optical Society of America, A, 9(3), 490-493.

Fleming RW, Dror RO, Adelson EH (2003) Real-world illumination and the perception of surface reflectance properties. J Vis 3:347-368.

http://journalofvision.org/3/5/3/Fleming-2003-jov-3-5-3.pdf

Freeman, W. T. (1992). The generic viewpoint assumption in a Bayesian framework. In D. C. Knill & W. Richards (Eds.), *Perception as Bayesian Inference*.: Cambridge University Press.

Hoffman DD (1998) Visual Intelligence. New York: W. W. Norton & Company.

Horn, B. K. P. (1974). Determining Lightness from an Image. <u>Computer Graphics and Image Processing</u>, <u>3((1))</u>, 277-299.

Hurlbert, A. (1986). Formal connections between lightness algorithms. Journal of the Optical Society of America, A, 3, 1684-1693

Knill, D. C., & Kersten, D. (1991). Apparent surface curvature affects lightness perception. Nature, 351, 228-230.

Kraft, J. M., & Brainard, D. H. (1999). Mechanisms of color constancy under nearly natural viewing [In Process Citation]. <u>Proc Natl Acad Sci U S A</u>, <u>96</u>(1), 307-12.

Land, E.H., 1983, Recent advances in retinex theory and some implications for cortical computations: Color vision and the natural image. <u>Proceedings of the National Academy of Science</u>, 80, 5163-5169.

Larson GW, Shakespeare R (1998) Rendering With Radiance: The Art and Science of Lighting Visualization.

Maloney, L.T., & Wandell, B.A. (1986). Color Constancy: A Method for Recovering Surface Spectral Reflectance..<u>3</u>, 29-33.

O'Brien, V. (1958). Contour Perception, Illusion and Reality. Journal of the Optical Society of America, 48((2)), 112-119.

Singh M, Anderson BL (2002) Toward a perceptual theory of transparency. Psychol Rev 109:492-519.

# Van Tuijl, H. F. J. M. (1975). A new visual illusion: neonlike color spreading and complementary color induction between subjective contours. Acta Psychologica, 39, 441-445.

© 2000, 2004, 2006, 2008 Daniel Kersten, Computational Vision Lab, Department of Psychology, University of Minnesota. kersten.org